

**FFIEC BSA/AML Examination Manual
Change History Log**

2/25/21

| Date | Sections Changed | Change Description |
|------------|---|---|
| 05/11/2018 | <ol style="list-style-type: none"> 1. Customer Due Diligence 2. Beneficial Ownership for Legal Entity Customers | <ul style="list-style-type: none"> • Revised the Customer Due Diligence section • Added a new Beneficial Ownership for Legal Entity Customers section |
| 4/15/2020 | <ol style="list-style-type: none"> 1. Table of Contents 2. Scoping and Planning 3. BSA/AML Risk Assessment 4. Assessing the BSA/AML Compliance Program 5. Developing Conclusions and Finalizing the Exam | <ul style="list-style-type: none"> • Revised all sections titles consistent with the new structure • Added a new Risk-Focused BSA/AML Supervision section and revised content in Developing the BSA/AML Examination Plan section under Scoping and Planning • Revised content in the BSA/AML Risk Assessment section • Added a new introductory section and created individual sections with revised content for BSA/AML Internal Controls, BSA/AML Independent Testing, BSA Compliance Officer, and BSA/AML Training under Assessing the BSA/AML Compliance Program • Revised content in the Developing Conclusions and Finalizing the Exam section |
| 02/25/2021 | <ol style="list-style-type: none"> 1. Assessing Compliance with Bank Secrecy Act Regulatory Requirements 2. Customer Identification Program 3. Currency Transaction Reporting 4. Transactions of Exempt Persons | <ul style="list-style-type: none"> • Added a new introductory section • Revised content in the Customer Identification Program, Currency Transaction Reporting, and Transactions of Exempt Persons sections under Assessing Compliance with BSA Regulatory Requirements |